

**Governance Information (Authority-Related)**

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	<a href="http://www.northcountryalliance.org/finance.php">http://www.northcountryalliance.org/finance.php</a>
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	<a href="http://www.northcountryalliance.org/policies.php">http://www.northcountryalliance.org/policies.php</a>
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	<a href="http://www.northcountryalliance.org/policies.php">http://www.northcountryalliance.org/policies.php</a>
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	<a href="http://www.northcountryalliance.org/vision.php">http://www.northcountryalliance.org/vision.php</a>
8. Has the Authority's mission statement been revised and adopted during the reporting period?	Yes	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		<a href="http://www.northcountryalliance.org/finance.php">http://www.northcountryalliance.org/finance.php</a>

**Governance Information (Board-Related)**

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		<a href="http://www.northcountryalliance.org/board.php">http://www.northcountryalliance.org/board.php</a>
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		<a href="http://northcountryalliance.org/nca_test/meetings.php">http://northcountryalliance.org/nca_test/meetings.php</a>
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	<a href="http://northcountryalliance.org/nca_test/policies.php">http://northcountryalliance.org/nca_test/policies.php</a>
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	<a href="http://northcountryalliance.org/nca_test/policies.php">http://northcountryalliance.org/nca_test/policies.php</a>
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	No	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

**Board of Directors Listing**

Name	Virkler, Eric	Name	Russo, Joseph
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	06/15/2016	Term Start Date	06/15/2016
Term Expiration Date	06/15/2019	Term Expiration Date	06/15/2019
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	No	Has the Board member/designee signed the acknowledgement of fiduciary duty?	No
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Wright, James	Name	Wilt, Christy
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	06/15/2016	Term Start Date	09/22/2016
Term Expiration Date	06/15/2019	Term Expiration Date	06/01/2019
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	No	Has the Board member/designee signed the acknowledgement of fiduciary duty?	No
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

**Board of Directors Listing**

Name	Calabrese, Carol	Name	Remington, Marijean
Chair of Board	No	Chair of Board	Yes
If yes, Chair designated By.		If yes, Chair designated By.	Elected by Board
Term Start Date	06/21/2017	Term Start Date	06/17/2015
Term Expiration Date	06/21/2020	Term Expiration Date	06/17/2018
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	No	Has the Board member/designee signed the acknowledgement of fiduciary duty?	No
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Wadsworth, Donna	Name	Dixon, Benjamin
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	06/21/2017	Term Start Date	06/21/2017
Term Expiration Date	06/21/2020	Term Expiration Date	06/21/2020
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	No	Has the Board member/designee signed the acknowledgement of fiduciary duty?	No
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Zembiec, David	Name	Pelkey, Duane
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	06/21/2017	Term Start Date	06/21/2017
Term Expiration Date	06/21/2020	Term Expiration Date	06/21/2020
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	No	Has the Board member/designee signed the acknowledgement of fiduciary duty?	No
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Gladwin, Brian	Name	Philippe, Franz
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	06/21/2017	Term Start Date	06/21/2017
Term Expiration Date	06/21/2020	Term Expiration Date	06/21/2020
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	No	Has the Board member/designee signed the acknowledgement of fiduciary duty?	No
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No



**Board of Directors Listing**

Name	Kelly, Patrick	Name	Charette, Ronald
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	06/17/2015	Term Start Date	06/21/2017
Term Expiration Date	06/17/2018	Term Expiration Date	06/21/2020
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	No	Has the Board member/designee signed the acknowledgement of fiduciary duty?	No
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Fish, Kate	Name	Vacant
Chair of Board	No	Chair of Board	
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	06/15/2016	Term Start Date	
Term Expiration Date	06/15/2019	Term Expiration Date	
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	No	Has the Board member/designee signed the acknowledgement of fiduciary duty?	
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	

**Board of Directors Listing**

Name	Evans, Jeremy
Chair of Board	No
If yes, Chair designated By.	
Term Start Date	06/21/2017
Term Expiration Date	06/21/2020
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	
Nominated By	Other
Appointed By	Other
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	No
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government
This authority has indicated that it has no staff during the reporting period.																

**Benefit Information**

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

**Board Members**

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Wilt, Christy	Board of Directors												X	
Virkler, Eric	Board of Directors												X	
Charette, Ronald	Board of Directors												X	
Evans, Jeremy	Board of Directors												X	
Kelly, Patrick	Board of Directors												X	
Fish, Kate	Board of Directors												X	
Remington, Marijean	Board of Directors												X	
Gladwin, Brian	Board of Directors												X	
Russo, Joseph	Board of Directors												X	
Vacant	Board of Directors												X	
Dixon, Benjamin	Board of Directors												X	
Zembiec, David	Board of Directors												X	
Calabrese, Carol	Board of Directors												X	
Philippe, Franz	Board of Directors												X	
Wright, James	Board of Directors												X	
Pelkey, Duane	Board of Directors												X	
Wadsworth, Donna	Board of Directors												X	

**Staff**

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
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No Data has been entered by the Authority for this section in PARIS

**Subsidiary/Component Unit Verification**

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes  
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Aut No

Name of Subsidiary/Component Unit	Status	Requested Changes
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**Subsidiary/Component Unit Creation**

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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**Subsidiary/Component Unit Termination**

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
<b>Current Assets</b>	
Cash and cash equivalents	\$26,789
Investments	\$0
Receivables, net	\$395,426
Other assets	\$0
<b>Total Current Assets</b>	<b>\$422,215</b>
<b>Noncurrent Assets</b>	
Restricted cash and investments	\$2,017,548
Long-term receivables, net	\$1,517,307
Other assets	\$704,485
<b>Capital Assets</b>	
Land and other nondepreciable property	\$0
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$0
<b>Total Noncurrent Assets</b>	<b>\$4,239,340</b>
<b>Total Assets</b>	<b>\$4,661,555</b>



Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

**Current Liabilities**

Accounts payable	\$12,319
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$800
Deferred revenues	\$0
Bonds and notes payable	\$0
Other long-term obligations due within one year	\$146,283
<b>Total Current Liabilities</b>	<b>\$159,402</b>

**Noncurrent Liabilities**

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$3,426,421
<b>Total Noncurrent Liabilities</b>	<b>\$3,426,421</b>

**Total Liabilities**

**\$3,585,823**

Net Asset (Deficit)

**Net Asset**

Invested in capital assets, net of related debt	\$0
Restricted	\$1,054,146
Unrestricted	\$21,586
<b>Total Net Assets</b>	<b>\$1,075,732</b>

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSOperating Revenues

Charges for services	\$8,110
Rental & financing income	\$91,317
Other operating revenues	\$11,925
<b>Total Operating Revenue</b>	<b>\$111,352</b>

Operating Expenses

Salaries and wages	\$0
Other employee benefits	\$0
Professional services contracts	\$69,013
Supplies and materials	\$0
Depreciation & amortization	\$0
Other operating expenses	\$7,801
<b>Total Operating Expenses</b>	<b>\$76,814</b>

**Operating Income (Loss)** **\$34,538**

Nonoperating Revenues

Investment earnings	\$3,294
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$55,250
<b>Total Nonoperating Revenue</b>	<b>\$58,544</b>

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETS

Nonoperating Expenses

Interest and other financing charges	\$37,430
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$456,479
<b>Total Nonoperating Expenses</b>	<b>\$493,909</b>
<b>Income (Loss) Before Contributions</b>	<b>(\$400,827)</b>
Capital Contributions	\$0
Change in net assets	(\$400,827)
Net assets (deficit) beginning of year	\$1,476,559
Other net assets changes	\$0
Net assets (deficit) at end of year	\$1,075,732

**Current Debt**

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

**New Debt Issuances List by Type of Debt and Program**

No Data has been entered by the Authority for this section in PARIS

**Schedule of Authority Debt**

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
<b>State Obligation</b>					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
<b>Authority Debt - General Obligation</b>					
Authority Debt - General Obligation	0.00	3,717,538.86	0.00	144,835.04	3,572,703.82
<b>Authority Debt - Other</b>					
Authority Debt - Other					
<b>Authority Debt - Revenue</b>					
Authority Debt - Revenue					
<b>Conduit</b>					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					
<b>Other State-Funded</b>					
Other State-Funded					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

**Property Documents**

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	<a href="http://www.northcountryalliance.org/finance.php">http://www.northcountryalliance.org/finance.php</a>
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	<a href="http://www.northcountryalliance.org/policies.php">http://www.northcountryalliance.org/policies.php</a>
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	



Grant Information

This Authority has indicated that it did not award any grants during the reporting period.

**Loan Information**

1. Source of Loan Funds: Federal  
 Name of Loan Recipient: Adirondack Meat Company, Inc.  
 Address Line1: 30 Commerce Drive  
 Address Line2:  
 City: TICONDEROGA  
 State: NY  
 Zip - Plus4: 12883  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$112,500  
 Date Loan Awarded: 01/10/2014  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$1,931.28  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 17  
 If yes, how many jobs have been  
 created to date? 0  
 Have the terms of the loan been  
 completed? No

2. Source of Loan Funds: State  
 Name of Loan Recipient: Adirondack Meat Company, Inc.  
 Address Line1: 30 Commerce Drive  
 Address Line2:  
 City: TICONDEROGA  
 State: NY  
 Zip - Plus4: 12883  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$112,500  
 Date Loan Awarded: 01/10/2014  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$1,931.27  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 17  
 If yes, how many jobs have been  
 created to date? 0  
 Have the terms of the loan been  
 completed? No

**Loan Information**

3. Source of Loan Funds: State  
 Name of Loan Recipient: Asept Pak, Inc.  
 Address Line1: 64 West Street  
 Address Line2:  
 City: MALONE  
 State: NY  
 Zip - Plus4: 12953  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$75,000  
 Date Loan Awarded: 03/04/2009  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$34,472.45  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 65  
 If yes, how many jobs have been  
 created to date? 21  
 Have the terms of the loan been  
 completed? No

4. Source of Loan Funds: Federal  
 Name of Loan Recipient: Asept Pak, Inc.  
 Address Line1: 64 West Street  
 Address Line2:  
 City: MALONE  
 State: NY  
 Zip - Plus4: 12953  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$150,000  
 Date Loan Awarded: 03/04/2009  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$68,955.24  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

5. Source of Loan Funds: Federal  
Name of Loan Recipient: BICC Brothers of NNY LLC  
Address Line1: 177 Palmer Street  
Address Line2:  
City: WATERTOWN  
State: NY  
Zip - Plus4: 13601  
Province/Region:  
Country: USA  
Original Amount of Loan: \$20,000  
Date Loan Awarded: 11/15/2012  
Interest Rate(%): 5  
Length of Loan(# of years  
to repay): 5  
Amount of Loan Principal Repaid  
to Date: \$9,790.00  
Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?  
Have the terms of the loan been  
completed? No

6. Source of Loan Funds: State  
Name of Loan Recipient: BICC Brothers of NNY LLC  
Address Line1: 177 Palmer Street  
Address Line2:  
City: WATERTOWN  
State: NY  
Zip - Plus4: 13601  
Province/Region:  
Country: USA  
Original Amount of Loan: \$20,000  
Date Loan Awarded: 11/15/2012  
Interest Rate(%): 5  
Length of Loan(# of years  
to repay): 5  
Amount of Loan Principal Repaid  
to Date: \$9,790.00  
Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?  
Have the terms of the loan been  
completed? Yes

**Loan Information**

7. Source of Loan Funds: Federal  
 Name of Loan Recipient: Branch Commercial Development  
 Address Line1: 31 Elm Street  
 Address Line2:  
 City: MALONE  
 State: NY  
 Zip - Plus4: 12953  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$112,500  
 Date Loan Awarded: 06/06/2011  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$16,740.09  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 17  
 If yes, how many jobs have been  
 created to date? 19  
 Have the terms of the loan been  
 completed? No

8. Source of Loan Funds: Federal  
 Name of Loan Recipient: Carthage Specialty Paperboard, Inc.  
 Address Line1: 30 Champion Street  
 Address Line2:  
 City: CARTHAGE  
 State: NY  
 Zip - Plus4: 13619  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$112,500  
 Date Loan Awarded: 04/07/2015  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$2,182.53  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Loan Information**

9. Source of Loan Funds: State  
 Name of Loan Recipient: Carthage Specialty Paperboard, Inc.  
 Address Line1: 30 Champion Street  
 Address Line2:  
 City: CARTHAGE  
 State: NY  
 Zip - Plus4: 13619  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$112,500  
 Date Loan Awarded: 04/07/2015  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$2,182.52  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 9  
 If yes, how many jobs have been  
 created to date? 2  
 Have the terms of the loan been  
 completed? No

10. Source of Loan Funds: Federal  
 Name of Loan Recipient: Clifton Fine Health Care Group  
 Address Line1: 1014 Oswegatchie Trail  
 Address Line2:  
 City: STAR LAKE  
 State: NY  
 Zip - Plus4: 13690  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$150,000  
 Date Loan Awarded: 05/12/2011  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$51,597.61  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Loan Information**

11. Source of Loan Funds: State  
 Name of Loan Recipient: Compass II, LLC  
 Address Line1: 114 Parker Street  
 Address Line2:  
 City: WATERTOWN  
 State: NY  
 Zip - Plus4: 13601  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$25,000  
 Date Loan Awarded: 01/21/2016  
 Interest Rate(%): 3.25  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$8,699.23  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

12. Source of Loan Funds: Federal  
 Name of Loan Recipient: Compass II, LLC  
 Address Line1: 114 Parker Street  
 Address Line2:  
 City: WATERTOWN  
 State: NY  
 Zip - Plus4: 13601  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$25,000  
 Date Loan Awarded: 01/21/2016  
 Interest Rate(%): 3.25  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$8,699.23  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Loan Information**

13. Source of Loan Funds: Federal  
 Name of Loan Recipient: Dorothee Gilmore  
 Address Line1: 8330 County Route 27  
 Address Line2:  
 City: LISBON  
 State: NY  
 Zip - Plus4: 13658  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$20,000  
 Date Loan Awarded: 10/06/2016  
 Interest Rate(%): 3.5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$4,041.71  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 2  
 If yes, how many jobs have been  
 created to date? 2  
 Have the terms of the loan been  
 completed? No

14. Source of Loan Funds: State  
 Name of Loan Recipient: Dorothee Gilmore  
 Address Line1: 8330 County Route 27  
 Address Line2:  
 City: LISBON  
 State: NY  
 Zip - Plus4: 13658  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$20,000  
 Date Loan Awarded: 10/06/2016  
 Interest Rate(%): 3.5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$4,041.85  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No



**Loan Information**

15. Source of Loan Funds: State  
 Name of Loan Recipient: French Point Associates Inc.  
 Address Line1: 23445 Clark Drive  
 Address Line2:  
 City: DEXTER  
 State: NY  
 Zip - Plus4: 13634  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$72,000  
 Date Loan Awarded: 01/30/2013  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$27,564.85  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 3  
 If yes, how many jobs have been  
 created to date? 3  
 Have the terms of the loan been  
 completed? No

16. Source of Loan Funds: State  
 Name of Loan Recipient: Hole Brothers Holdings LLC  
 Address Line1: 500 Newell Street  
 Address Line2:  
 City: WATERTOWN  
 State: NY  
 Zip - Plus4: 13601  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$68,000  
 Date Loan Awarded: 06/03/2011  
 Interest Rate(%): 3.5  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$39,992.50  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 21  
 If yes, how many jobs have been  
 created to date? 26  
 Have the terms of the loan been  
 completed? No

**Loan Information**

17. Source of Loan Funds: Federal  
 Name of Loan Recipient: JProulx Inc.  
 Address Line1: 200 Market Street  
 Address Line2:  
 City: POTSDAM  
 State: NY  
 Zip - Plus4: 13676  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$125,000  
 Date Loan Awarded: 01/30/2015  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$27,244.16  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 11  
 If yes, how many jobs have been  
 created to date? 15  
 Have the terms of the loan been  
 completed? No

18. Source of Loan Funds: State  
 Name of Loan Recipient: JProulx Inc.  
 Address Line1: 200 Market Street  
 Address Line2:  
 City: POTSDAM  
 State: NY  
 Zip - Plus4: 13676  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$125,000  
 Date Loan Awarded: 01/30/2015  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$27,244.17  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

19. Source of Loan Funds: State  
 Name of Loan Recipient: Jai Galub, LLC  
 Address Line1: 25791 NYS Route 37  
 Address Line2:  
 City: WATERTOWN  
 State: NY  
 Zip - Plus4: 13601  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$112,500  
 Date Loan Awarded: 12/27/2017  
 Interest Rate(%): 4.25  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

20. Source of Loan Funds: Federal  
 Name of Loan Recipient: Jai Galub, LLC  
 Address Line1: 25791 NYS Route 37  
 Address Line2:  
 City: WATERTOWN  
 State: NY  
 Zip - Plus4: 13601  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$112,500  
 Date Loan Awarded: 12/27/2017  
 Interest Rate(%): 4.25  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 3  
 If yes, how many jobs have been  
 created to date? 0  
 Have the terms of the loan been  
 completed? No

Loan Information

21. Source of Loan Funds: Federal  
 Name of Loan Recipient: Katkesh Holding Company, LLC  
 Address Line1: 459 Margaret Street  
 Address Line2:  
 City: PLATTSBURGH  
 State: NY  
 Zip - Plus4: 12901  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$40,000  
 Date Loan Awarded: 02/12/2015  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$9,092.46  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 4  
 If yes, how many jobs have been  
 created to date? 4  
 Have the terms of the loan been  
 completed? No

22. Source of Loan Funds: State  
 Name of Loan Recipient: Katkesh Holding Company, LLC  
 Address Line1: 459 Margaret Street  
 Address Line2:  
 City: PLATTSBURGH  
 State: NY  
 Zip - Plus4: 12901  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$40,000  
 Date Loan Awarded: 02/12/2015  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$9,092.46  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

23. Source of Loan Funds: Federal  
 Name of Loan Recipient: L.K.R. Enterprises, Inc.  
 Address Line1: 107 Grove Street  
 Address Line2:  
 City: BOONVILLE  
 State: NY  
 Zip - Plus4: 13309  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$50,000  
 Date Loan Awarded: 07/15/2016  
 Interest Rate(%): 3.5  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$2,655.78  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 9  
 If yes, how many jobs have been  
 created to date? 12  
 Have the terms of the loan been  
 completed? No

24. Source of Loan Funds: State  
 Name of Loan Recipient: L.K.R. Enterprises, Inc.  
 Address Line1: 107 Grove Street  
 Address Line2:  
 City: BOONVILLE  
 State: NY  
 Zip - Plus4: 13309  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$50,000  
 Date Loan Awarded: 07/15/2016  
 Interest Rate(%): 3.5  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$2,655.90  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

25. Source of Loan Funds: Federal  
 Name of Loan Recipient: LCO Destiny LLC  
 Address Line1: 1 Fisher Circle  
 Address Line2:  
 City: WATERTOWN  
 State: NY  
 Zip - Plus4: 13601  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$225,000  
 Date Loan Awarded: 01/07/2008  
 Interest Rate(%): 2.5  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$135,741.04  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 100  
 If yes, how many jobs have been  
 created to date? 0  
 Have the terms of the loan been  
 completed? No

26. Source of Loan Funds: Federal  
 Name of Loan Recipient: Lyric Enterprises, Inc.  
 Address Line1: 246 James Street  
 Address Line2:  
 City: CLAYTON  
 State: NY  
 Zip - Plus4: 13624  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$40,000  
 Date Loan Awarded: 04/27/2009  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$33,317.20  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 2  
 If yes, how many jobs have been  
 created to date? 1  
 Have the terms of the loan been  
 completed? No

**Loan Information**

27. Source of Loan Funds: State  
 Name of Loan Recipient: Mace Chasm Farm  
 Address Line1: 810 Mace Chasm road  
 Address Line2:  
 City: KEESEVILLE  
 State: NY  
 Zip - Plus4: 12944  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$34,279.19  
 Date Loan Awarded: 05/01/2015  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 3  
 Amount of Loan Principal Repaid  
 to Date: \$29,630.75  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

28. Source of Loan Funds: Federal  
 Name of Loan Recipient: Mace Chasm Farm  
 Address Line1: 810 Mace Chasm road  
 Address Line2:  
 City: KEESEVILLE  
 State: NY  
 Zip - Plus4: 12944  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$34,279.19  
 Date Loan Awarded: 05/01/2015  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 3  
 Amount of Loan Principal Repaid  
 to Date: \$29,630.74  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

29. Source of Loan Funds: State  
 Name of Loan Recipient: Maui North Ski, Bike, and Surf Company  
 Address Line1: 31 Durkee Street  
 Address Line2:  
 City: PLATTSBURGH  
 State: NY  
 Zip - Plus4: 12901  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$70,000  
 Date Loan Awarded: 10/15/2013  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$37,840.76  
 Purpose of Loan: Business Expansion/Startup

30. Source of Loan Funds: Federal  
 Name of Loan Recipient: Maui North Ski, Bike, and Surf Company  
 Address Line1: 31 Durkee Street  
 Address Line2:  
 City: PLATTSBURGH  
 State: NY  
 Zip - Plus4: 12901  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$70,000  
 Date Loan Awarded: 10/15/2013  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$37,840.75  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 1  
 If yes, how many jobs have been  
 created to date? 1  
 Have the terms of the loan been  
 completed? No



Loan Information

31. Source of Loan Funds: State  
 Name of Loan Recipient: McKinney Gen.2, LLC  
 Address Line1: 26444 U.S. Route 11  
 Address Line2:  
 City: EVANS MILLS  
 State: NY  
 Zip - Plus4: 13637  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$112,500  
 Date Loan Awarded: 03/31/2016  
 Interest Rate(%): 3.5  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$25,599.84  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

32. Source of Loan Funds: Federal  
 Name of Loan Recipient: McKinney Gen.2, LLC  
 Address Line1: 26444 U.S. Route 11  
 Address Line2:  
 City: EVANS MILLS  
 State: NY  
 Zip - Plus4: 13637  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$112,500  
 Date Loan Awarded: 03/31/2016  
 Interest Rate(%): 3.5  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$25,599.63  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 12  
 If yes, how many jobs have been  
 created to date? 9  
 Have the terms of the loan been  
 completed? No

Loan Information

33. Source of Loan Funds: State  
 Name of Loan Recipient: N. Wilson Holdings LLC  
 Address Line1: 795 NYS Route 3  
 Address Line2:  
 City: PLATTSBURGH  
 State: NY  
 Zip - Plus4: 12901  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$88,000  
 Date Loan Awarded: 11/13/2013  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$30,044.22  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 8  
 If yes, how many jobs have been  
 created to date? 1  
 Have the terms of the loan been  
 completed? No

34. Source of Loan Funds: Federal  
 Name of Loan Recipient: North Country Transitional Living Services  
 Address Line1: 482 Black River Parkway  
 Address Line2:  
 City: WATERTOWN  
 State: NY  
 Zip - Plus4: 13601  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$150,000  
 Date Loan Awarded: 02/26/2015  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$19,808.76  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 2  
 If yes, how many jobs have been  
 created to date? 2  
 Have the terms of the loan been  
 completed? No

**Loan Information**

35. Source of Loan Funds: Federal  
 Name of Loan Recipient: North Racquette Greenery  
 Address Line1: 50 Trippany Road  
 Address Line2:  
 City: MASSENA  
 State: NY  
 Zip - Plus4: 13662  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$25,000  
 Date Loan Awarded: 12/06/2010  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Prinicipal Repaid  
 to Date: \$23,122.87  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 5  
 If yes, how many jobs have been  
 created to date? 3  
 Have the terms of the loan been  
 completed? No

36. Source of Loan Funds: Federal  
 Name of Loan Recipient: PG Sample Holdings, LLC  
 Address Line1: 717 Davison Road  
 Address Line2:  
 City: CHAMPLAIN  
 State: NY  
 Zip - Plus4: 12919  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$50,000  
 Date Loan Awarded: 12/19/2014  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Prinicipal Repaid  
 to Date: \$12,512.17  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 3  
 If yes, how many jobs have been  
 created to date? 3  
 Have the terms of the loan been  
 completed? No

Loan Information

37. Source of Loan Funds: State  
 Name of Loan Recipient: PG Sample Holdings, LLC  
 Address Line1: 717 Davison Road  
 Address Line2:  
 City: CHAMPLAIN  
 State: NY  
 Zip - Plus4: 12919  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$50,000  
 Date Loan Awarded: 12/19/2014  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$12,512.17  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

38. Source of Loan Funds: Federal  
 Name of Loan Recipient: Pro-Tech Restoration, Inc.  
 Address Line1: 128 Park Row  
 Address Line2:  
 City: CADYVILLE  
 State: NY  
 Zip - Plus4: 12918  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$40,000  
 Date Loan Awarded: 12/16/2015  
 Interest Rate(%): 3.25  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$6,695.77  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 6  
 If yes, how many jobs have been  
 created to date? 0  
 Have the terms of the loan been  
 completed? No

**Loan Information**

39. Source of Loan Funds: State  
 Name of Loan Recipient: Pro-Tech Restoration, Inc.  
 Address Line1: 128 Park Row  
 Address Line2:  
 City: CADYVILLE  
 State: NY  
 Zip - Plus4: 12918  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$40,000  
 Date Loan Awarded: 12/16/2015  
 Interest Rate(%): 3.25  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$6,695.78  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

40. Source of Loan Funds: State  
 Name of Loan Recipient: Romalato's Delicatessen, Inc.  
 Address Line1: 1171 Coffeen Street  
 Address Line2:  
 City: WATERTOWN  
 State: NY  
 Zip - Plus4: 13601  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$50,000  
 Date Loan Awarded: 03/23/2010  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 9  
 Amount of Loan Principal Repaid  
 to Date: \$40,753.73  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 8  
 If yes, how many jobs have been  
 created to date? 0  
 Have the terms of the loan been  
 completed? No

**Loan Information**

41. Source of Loan Funds: State  
 Name of Loan Recipient: Roth Industries, Inc.  
 Address Line1: 268 Bellew Avenue South  
 Address Line2:  
 City: WATERTOWN  
 State: NY  
 Zip - Plus4: 13601  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$200,000  
 Date Loan Awarded: 11/18/2016  
 Interest Rate(%): 3.5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$10,321.80  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 6  
 If yes, how many jobs have been  
 created to date? 0  
 Have the terms of the loan been  
 completed? No

42. Source of Loan Funds: Federal  
 Name of Loan Recipient: Saranac Country Store  
 Address Line1: 3346 State Route 3  
 Address Line2:  
 City: SARANAC  
 State: NY  
 Zip - Plus4: 12981  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$115,951  
 Date Loan Awarded: 12/17/2010  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$72,912.74  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 9  
 If yes, how many jobs have been  
 created to date? 0  
 Have the terms of the loan been  
 completed? No

**Loan Information**

43. Source of Loan Funds: Federal  
 Name of Loan Recipient: Sci-Tech Center of Northern New York  
 Address Line1: 154 Stone Street  
 Address Line2:  
 City: WATERTOWN  
 State: NY  
 Zip - Plus4: 13601  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$45,000  
 Date Loan Awarded: 01/25/2008  
 Interest Rate(%): 2.5  
 Length of Loan(# of years  
 to repay): 20  
 Amount of Loan Principal Repaid  
 to Date: \$17,436.93  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 4  
 If yes, how many jobs have been  
 created to date? 2  
 Have the terms of the loan been  
 completed? No

44. Source of Loan Funds: Federal  
 Name of Loan Recipient: Swift Labs, LLC  
 Address Line1: 351 East Orvis Street  
 Address Line2:  
 City: MASSENA  
 State: NY  
 Zip - Plus4: 13662  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$43,000  
 Date Loan Awarded: 04/05/2017  
 Interest Rate(%): 3.5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$4,638.26  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 2  
 If yes, how many jobs have been  
 created to date? 2  
 Have the terms of the loan been  
 completed? No

Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.



**Additional Comments:**

For the loan information, we fund with both federal and state funds, so in many cases two loans were set up. However, job creation information was only reported for one loan so as not to duplicate this information.